

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark, Office Address: COMMISSIONER FOR PATENTS P.O. BON 1460 Abxonotia, Virguina 22313-1450

NOTICE OF ALLOWANCE AND FEE(S) DUE

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13/19/2003

THOMAS C PONTANI COHEN PONTANI LIEBERMAN & PAVANE 55I FIFTH AVENUE SUITE 1210 NEW YORK, NY 10176

| EXA | MINER |
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| KERNS | , KEVIN P |
| ART UNIT | PAPER NUMBER |

1725 DATE MAILED: 11/19/2003

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/462,024 | 02/03/2000 | WERNER RAHMFELD | 3245-710PUS | 4586 |

TITLE OF INVENTION: METHOD AND DEVICE FOR PRODUCING THIN SLABS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION PEE | TOTAL FEE(S) DUE, | DATE DUE |
|----------------|--------------|-----------|-----------------|-------------------|------------|
| nonprovisional | NO | \$1330 | \$300 | \$1630 | 02/19/2004 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B EEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEB and PUBLICATION FEB (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up-with any corrections or use Block 1)

11/19/2003 7590

THOMAS C PONTANI COHEN PONTANI LIEBERMAN & PAVANE 551 FIFTH AVENUE **SUITE 1210** NEW YORK, NY 10176

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USFTO, on the date indicated below.

(Depositor's name) (Signatore) (Date)

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| nonprovisional | NO | \$1330 | \$300 | \$1630 | 02/19/2004 |
| EXA | MINER | ART UNIT | CLASS-SUBCLASS | | |
| KERNS, | KEVIN P | 1725 | 164-459000 | | |
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. 3. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47, Rev 03-02 or more recent) attached. Use of a Customer Number is reenired. | | 2. For printing on the patent front names of up to 3 registered par agents OR, alternatively, (2) the n firm (having as a member a regist agent) and the names of up to 2 attorneys or agents. If no name is will be printed. | ent attorneys or i ame of a single ared attorney or 2 registered patent | | |

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignce is identified below, no assignce data will appear on the patent. Inclusion of assignce data is only appropriate when an assignment has been previously submitted to the USPYO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

| (A) NAME OF ASSIGNEE | (B) RESIDENCE: (CIT | Y and STATE OF | (COUNTRY) | |
|--|--|--------------------------|---|-----------------------------|
| Please check the appropriate assignee category or | vategories (will not be printed on the patent); | (1) individual | Corporation or other private group entity | a governmen |
| 4a. The following fee(s) are enclosed: | 4b, Payment of Fee(s): | | | |
| ☐ Issue Fee | A check in the am | ount of the fee(s) | is enclosed. | |
| ☐ Publication Fee | Payment by credit | card, Form PTO- | 2038 is attached. | |
| ☐ Advance Order - # of Copies | ☐ The Director is h Deposit Account Nu | ereby authorized mber | by charge the required fee(s), or credit any enclose an extra copy of this | overpayment, to s form). |
| Director for Patents is requested to apply the Issu | e Fee and Publication Fee (if any) or to re-apply | any previously p | ead issue fee to the application identified abo | ve. |
| (Authorized Signature) | (Date) | | | |
| NOTE: The Issue Fee and Publication Fee (if other than the applicant: a registered attorney interest as shown by the records of the United S. This collection of information is required by 5 obtain or retain a benefit by the public with application. Confidentiality is governed by 3.5 testimated to take 12 unitudes to complete completed application from to the USPTO. The Case. Any comments on the amount of time | or agent, or the assignce or other party in tates Patent and Tradomark Office. 77 CFR 1.311. The information is required to its to flie (and by the USPTO to process) at 3.8, C. 122 and 3.7 CFR 1.14. This collection is did to gathering proparing, and submitting the control of the collection is the control of the collection in the control of the collection is the control of the collection in the collection is the collection of the collection in the collection is the collection in the collection in the collection is the collection in the collection | | | |
| suggestions for reducing this burden, should be present and Trademark Office, U.S. Depar 22313-1450, DO NOT SEND FEES OR CC SEND TO: Commissioner for Parents, Alexand Under the Paperwork Reduction Act of 199 collecting of information unless it displays a grant of the paper of the property of the prope | na, virginia 22313-1450. 5, no persons are required to respond to a | | | |



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS F.D. BOX 149 www.upplo.gov/gipins.22313-1450

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| THOMAS C PON | | _ | KERNS, I | KEVIN P |
| COHEN PONTAN 51 FIFTH AVEN | I LIEBERMAN & PAVAÑ UE | E | ART UNIT | PAPER NUMBER |
| SUITE 1210 | | | 1725 | |
| NEW YORK, NY | 10176 | | DATE MAILED: 11/19/200 | 3 |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



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| 7: | 590 11/19/2003 | | EXAM | INER | |
| THOMAS C PONTANI | | | KERNS, KEVIN P | | |
| COHEN PONTAN 551 FIFTH AVEN | II LIEBERMAN & PA IUE | VANE | ART UNIT | PAPER NUMBER | |
| SUITE 1210 | | | 1725 | | |
| NEW YORK, NY | 10176 | | DATE MAILED: 11/19/200 | 3 | |

Notice of Fee Increase on October 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on October 1, 2003. See Revision of Patent Fees for Fiscal Year 2004; Final Rule, 68 Fed. Reg. 41532, 41533, 41534 (July 14, 2003).

The current fee schedule is accessible from (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in view of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowance is to be filed on or after October 1, 2003 (or mailed with a certificate of mailing on or after October 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously-paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Effective October 1, 2003, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below.

Section 1.18 Patent post allowance (including issue) fees.

| (a) Issue fee for issuing each original or reise except a design or plant patent: | sue patent, |
|---|-------------|
| By a small entity (Sec. 1.27(a)) | \$665.00 |
| By other than a small entity | \$1,330.00 |
| (b) Issue fee for issuing a design patent: | |
| By a small entity (Sec. 1.27(a)) | \$240.00 |
| By other than a small entity | |
| (c) Issue fee for issuing a plant patent: | |
| By a small entity (Sec. 1.27(a)) | \$320.00 |
| By other than a small entity | \$640.00 |

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.